

**INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA  
REQUIREMENT TO DISCLOSE MEMBERSHIP IN IIROC AS DEALER MEMBER  
AMENDMENTS TO DEALER MEMBER RULES 700, 22, 29.14 AND 29.28**

1. Dealer Member Rule 700 is repealed and replaced as follows:

**“RULE 700  
MEMBERSHIP DISCLOSURE REQUIREMENTS IN THE CORPORATION FOR  
DEALER MEMBERS**

- “700.1 A Dealer Member must disclose to its clients that it is regulated by the Corporation in accordance with the requirements set out in the IIROC Membership Disclosure Policy.”
2. Dealer Member Rule 22 is repealed in its entirety.
  3. Dealer Member Rule section 29.14 is amended as follows:
    - (a) The definitions of the terms “Advertising”, “CIPF”, “CIPF official explanatory statement”, “CIPF official brochure” and “CIPF official symbol”, as set out in subsection 29.14(a), are repealed.
    - (b) Subsections 29.14(b) through 29.14(i) are repealed.
  4. A new Dealer Member Rule section 29.28 regarding the Canadian Investor Protection Fund’s membership disclosure requirements is enacted as follows:

**“29.28 Membership Disclosure Requirements in the Canadian Investor  
Protection Fund for Dealer Members**

A Dealer Member must disclose to its clients, in accordance with the CIPF Disclosure Policy, membership in the Canadian Investor Protection Fund and the coverage available for eligible accounts.”