

  
**APR 07 2017**

IN THE MATTER OF:

**THE RULES OF THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA**

**AND**

**GEORGE ALEXANDER PEDER PEDERSSON**

**NOTICE OF APPLICATION FOR SETTLEMENT HEARING**

The Investment Industry Regulatory Organization of Canada (IIROC) will hold a hearing to consider whether to accept a Settlement Agreement entered into between staff of IIROC (“Staff”) and the Respondent George Alexander Peder Pedersson pursuant to Section 8428 of the Consolidated Enforcement, Examination and Approval Rules of IIROC.

The settlement hearing will be held on: April 27, 2017 at 10:00 a.m.

The settlement hearing will be held at: Reportex Agency  
Suite 1010 - 925 West Georgia Street  
Vancouver, BC

**METHOD OF HEARING**

The settlement hearing will be conducted as a[n]:

ORAL HEARING

ELECTRONIC HEARING

WRITTEN HEARING

**THE SETTLEMENT AGREEMENT**

The Settlement Agreement addresses proposed allegations that George Alexander Peder Pedersson failed to ensure recommendations he made to a client were suitable for that client contrary to IIROC Dealer Member Rule 1300.1 (q).

**THE SETTLEMENT HEARING**

The hearing is not open to the public, but the public will be advised whether the Settlement Agreement has been accepted or rejected. The Settlement Agreement will be made public if it is accepted by the Hearing Panel.

**DATED** this 7<sup>th</sup> day of April, 2016.



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NATIONAL HEARING COORDINATOR  
Investment Industry Regulatory Organization of Canada  
Suite 2000, 121 King Street West  
Toronto, Ontario, M5H 3T9