

IIROC NOTICE

Rules Notice

Technical

Dealer Member Rules

Please distribute internally to:

Legal and Compliance

Operations

Retail

Senior Management

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16-0271

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Disclosure of Membership in IIROC - Additional versions of the IIROC Logo

On June 16, 2016, IIROC published [Rules Notice 16-0133](#) - *Amendments Requiring Disclosure of Membership in IIROC (Notice 16-0133)*. These amendments to IIROC Dealer Member Rules require, among other things, Dealer Members to disclose that they are regulated by IIROC.

Attachment B to Notice 16-0133 sets out the IIROC Membership Disclosure Policy (**Disclosure Policy**). Section 3 of the Disclosure Policy provides four versions of the IIROC Logo for use by Dealer Members to satisfy the IIROC membership disclosure requirements identified in the Disclosure Policy.

Since the publication of Notice 16-0133, a number of Dealer Members have requested additional versions of the IIROC Logo. In particular, Dealer Members requested versions of the IIROC Logo for multiple uses and multiple media (e.g., mobile devices, tablets, smartphones) and bilingual versions.

In light of these requests, we designed additional versions of the IIROC Logo which Dealer Members may use to satisfy the IIROC membership disclosure requirements set out in the Disclosure Policy. Dealer Members are able to download high-resolution versions of the IIROC Logo from the [Membership Disclosure Page](#) of our website.

We are publishing this Technical Notice to ensure Dealer Members are aware of the availability of additional versions of the IIROC Logo on our website. To this end, we have also updated section 3 of the Disclosure Policy to reference that we make available versions of the IIROC Logo on our website.

Clean and backline versions of the Disclosure Policy are attached as **Attachment A** and **Attachment B**. We are replacing the version of the Disclosure Policy attached to Notice 16-0133 with the clean version attached to this Notice (Attachment A).

Attachments

[Attachment A](#) - IIROC Membership Disclosure Policy (clean version)

[Attachment B](#) - IIROC Membership Disclosure Policy (blackline version marked to show revision made to the version published on June 16, 2016)