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IIROC Statement: COVID-19 Preparedness

The health and safety of our employees and all Canadian market participants is of paramount importance to IIROC.

As such, IIROC has been in close communication with internal and external stakeholders and our regulatory partners. We are closely monitoring developments.

In the event of a major disruption, IIROC has detailed business continuity plans (BCPs) in place for each regulatory area of the organization, to preserve critical functions and activities. As a pre-emptive measure, IIROC has invoked elements of our BCP to ensure that critical, core functions continue to operate without disruption -- all while taking steps to vigilantly protect against illness and to safeguard the wellbeing of our team of dedicated employees. Although we've invoked this plan, we continue to carry out all our market oversight responsibilities. We are conducting investment firm examinations remotely, where feasible.

We will continue to closely liaise with members of the Canadian Securities Administrators, marketplaces, IIROC-regulated investment firms and other stakeholders. For example, we have issued [pandemic-focused BCP Guidance](#) as firms implement their own BCPs to address the effects of a potential pandemic.

IIROC continues to monitor updates from governments, regulators across jurisdictions, stakeholders and official domestic and world health sources relating to COVID-19.

IIROC will adapt plans and issue further communication, as appropriate, as the situation develops.