

November 5, 2018

ENFORCEMENT

HEARINGS

For the week of November 5-9, 2018

There are no hearings scheduled this week.

NOTICES

- **Andrew Paul Rudensky:**
[Penalty Decision](#)
- **Raymond James Ltd.:**
[Settlement Hearing](#)
- **Edward Peter Bodnarchuk:**
[Penalty Decision](#)
- **Shafique Hirani:**
[Settlement Accepted](#)
- **Sam Deones Panzures:**
[Settlement Accepted](#)
- **Pei-Ying Patty Chen:**
[Settlement Agreement](#)

INVESTOR RESOURCES

Questions, inquiries or complaints?
 Call IIROC toll-free: 1-877-442-4322

- [IIROC Investor Brochures](#)
- [Making a Complaint: An IIROC Guide](#)
- List of IIROC-regulated [firms](#) and [marketplaces](#)

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November is Financial Literacy Month

IIROC kicked off Financial Literacy Month with two events.

We participated in Prosper Canada's Financial Empowerment Breakfast to unveil its new research with the Canadian Council on Social Development (CCSD) on household financial health and vulnerability in Canada.



The Cross Canada Check-Up is a comprehensive report that highlights provincial/territorial findings from Canada's Neighbourhood Financial Health Index (NFHI), a new composite index measuring household financial health at the neighbourhood level.

The report examines the overall financial health of households in each province and territory. It also allows for comparisons of financial health and vulnerability, taking into account the following factors: household incomes, liquid assets, real estate assets, consumer debt, mortgage debt and neighbourhood poverty.

To see how financial health is distributed in your community, visit the [NFHI Community Financial Health Maps](#). Advisors can use this tool to examine the financial health or vulnerability of the communities they serve.

[For more information](#)

IIROC attended the Financial Well-Being Fair hosted by the Financial Consumer Agency of Canada (FCAC) and the Bank of Canada Museum in Ottawa.

Vice-President of Public Affairs and Member Education Services, Lucy Becker, joined FCAC Commissioner Lucie Tedesco, Bank of Canada Governor Stephen Poloz, and Canada's Financial Literacy Leader Jane Rooney in kicking off this event showcasing initiatives to strengthen the financial literacy of Canadians.



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